

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17075-019001/0123	Application No. 10/627,941
List of Patents and Publications for Applicant's Information Disclosure Statement		Applicant Michael Hendricksen et al.	
		Filing Date July 25, 2003	Group Art Unit 3743

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/NP/	AA	2003/0070682	04/17/03	Wilson et al	128	207.16	10/10/02
	AB	2003/0070683	04/17/03	Deem et al.	128	207.16	11/22/02
	AC	2003/0075169	04/24/03	Deem et al.	128	200.19	11/22/02
	AD	2003/0075170	04/24/03	Deem et al.	128	200.19	11/22/02
	AE	2003/0164168	09/04/03	Shaw et al.	128	200.24	05/18/01
	AF	2003/0192550	10/16/03	Deem et al.	128	207.14	04/18/03
	AG	2003/0192551	10/16/03	Deem et al.	128	207.14	04/18/03
	AH	2003/0199972	10/23/03	Zadno-Azizi et al.	623	1.24	04/18/03
	AI	2003/0212452	11/13/03	Zadno-Azizi et al.	623	1.24	06/03/03
	AJ	2004/0016435	01/29/04	Deem et al.	128	207.14	07/29/03
	AK	2004/0039250	02/26/04	Tholfen et al.	600	104	05/28/03
	AL	2004/0060563	04/01/04	Rapacki et al.	128	207.14	07/25/03
	AM	2004/0089306	05/13/04	Hundertmark et al.	128	207.14	05/28/03
	AN	2004/0134487	07/15/04	Deem et al.	128	200.19	11/06/03
	AO	2004/0154621	08/12/04	Deem et al.	128	206.24	10/15/03
	AP	2005/0016530	01/27/05	McCutcheon et al.	128	200.24	07/08/04
	AQ	2005/0051163	03/10/05	Deem et al.	128	200.24	11/06/03
	AR	2005/0066974	03/31/05	Fields et al.	128	207.14	05/28/03
	AS	4,774,942	10/04/88	Moellers	128	205.24	08/28/87
	AT	4,879,998	11/14/89	Moellers	128	205.24	05/06/88
	AU	4,990,151	02/05/91	Wallsten	606	108	09/18/89
	AV	5,158,548	10/27/91	Lau et al.	606	194	07/24/91
	AW	5,453,090	09/26/95	Martinez et al.	606	108	03/01/94
	AX	5,645,519	07/08/97	Lee et al.	600	114	03/18/94
	AY	5,649,906	07/22/97	Gory et al.	606	108	01/19/95
↓	AZ	5,803,080	09/08/98	Freitag	128	207.14	12/20/96
/NP/	BA	5,910,144	07/08/99	Hayashi	606	108	01/09/98

Examiner Signature /Nihir Patel/	Date Considered 01/04/2008
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List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Michael Hendricksen et al.			
				Filing Date July 25, 2003		Group Art Unit 3743	
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/NP/	BB	5,980,455	11/09/99	Daniel et al.	600	235	07/15/97
	BC	6,027,508	02/22/00	Ren et al.	606	108	10/03/96
	BD	6,190,381	02/20/01	Olsen et al.	606	32	01/21/98
	BE	6,247,471	06/19/01	Bower et al.	128	205.21	07/08/99
	BF	6,280,464	08/28/01	Hayashi	623	1.11	06/07/99
	BG	6,558,318	05/06/03	Daniel et al.	600	213	11/01/99
	BH	6,592,594	07/15/03	Rimbaugh et al.	606	108	10/25/01
	BI	6,632,243	10/14/03	Zadno-Azizi et al.	623	1.24	09/16/99
	BJ	6,679,264	01/20/04	Deem et al.	128	207.16	03/04/00
	BK	6,685,739	02/03/04	Di Matteo et al.	623	1.24	07/09/02
	BL	6,694,979	02/24/04	Deem et al.	128	207.14	03/02/01
	BM	6,699,231	02/02/04	Sterman et al.	604	509	12/30/98
/NP/	BN	6,840,243	01/11/05	Deem et al.	128	207.16	04/18/03

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/NP/	BO	01/87170	11/22/01	PCT				
	BP	03/030975	04/17/03	PCT				
	BQ	03/099164	12/04/03	PCT				
/NP/	BR	04/010845	02/05/04	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document


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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/NP/	AA	6,110,201	08/29/00	Quijano, et al.	623	2.1	02/18/99
/NP/	AB	6,193,748	02/27/01	Thompson, et al.	623	1.3	06/11/99

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/NP/	AC	2004/010845	02/05/04	PCT				

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Examiner Initial	Desig. ID	Document

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 	APPLICATION SERIAL NO.: 10/627,941	ATTY DKT NO.: 38349-0123	CONFIRM NO.: 3677
	APPLICANTS: Hendricksen <i>et al.</i>		CUSTOMER NO.: 24961
	FILING DATE: July 25, 2003		GROUP ART UNIT: 3761

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U.S. PATENT DOCUMENTS						
† EX'R INITIAL	REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
/NP/	A	2003/0018327 (A1)	01/23/03	Truckai <i>et al.</i>	606/32	07/18/02
	B	2003/0018344 (A1)	01/23/03	Kaji <i>et al.</i>	606/130	07/19/02
	C	2003/0050648 (A1)	03/13/03	Alfemess <i>et al.</i>	606/108	09/11/01
	D	2003/0083671(A1)	05/01/03	Rimbaugh <i>et al.</i>	606/108	10/25/01
	E	2003/0181922 (A1)	09/25/03	Alfemess	606/108	03/20/02
	F	2003/0183235 (A1)	10/02/03	Rimbaugh <i>et al.</i>	128/207.15	04/17/03
	G	2002/0138135 (A1)	09/26/02	Duerig <i>et al.</i>	623/1.24	03/21/01
	H	2002/0112729 (A1)	08/22/02	DeVore <i>et al.</i>	128/207.15	02/21/01
	I	2002/0111620 (A1)	08/15/02	Cooper <i>et al.</i>	606/41	09/04/01
	J	2002/0111619 (A1)	08/14/02	Keast <i>et al.</i>	606/41	09/04/01
	K	2002/0095209 (A1)	07/18/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/08/02
	L	2002/0087153 (A1)	07/04/02	Roschak <i>et al.</i>	606/27	09/04/01
	M	2002/0077696 A1	06/20/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/21/02
	N	2002/0077593 (A1)	06/20/02	Perkins <i>et al.</i>	604/96.01	02/11/02
	O	2002/0062120 (A1)	05/23/02	Perkins <i>et al.</i>	604/516	12/13/01
	P	2002/0026233(A1)	02/28/02	Shaknovich	623/1.24	03/20/01
	Q	2002/0007831(A1)	1/24/02	Davenport <i>et al.</i>	128/200.24	07/18/01
	R	2001/0056274 (A1)	12/27/01	Perkins <i>et al.</i>	604/516	07/02/01
	S	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
	T	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
↓	U	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
/NP/	V	2001/0037808 A1	11/08/01	Deem <i>et al.</i>	128/200.24	03/02/01

EXAMINER'S SIGNATURE /Nihir Patel/	DATE CONSIDERED 01/04/2008
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U.S. PATENT DOCUMENTS						
/NP/	W	2001/0025132 (A1)	09/27/01	Alfemess <i>et al.</i>	600/37	02/09/01
	X	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57
	Y	3,657,744	04/25/72	Ersek	128/334	05/08/70
	Z	3,788,327	01/29/74	Donowitz <i>et al.</i>	128/350	03/30/71
	AA	3,874,388	04/01/75	King <i>et al.</i>	128/334	02/12/73
	AB	4,014,318	03/29/77	Dockum <i>et al.</i>	128/1	05/22/75
	AC	4,086,665	05/02/78	Poirier	623/1	12/16/76
	B	4,212,463	07/15/80	Repinski <i>et al.</i>	273/418	02/17/78
	AD	4,250,873	02/17/81	Bonnet	600/104	04/17/78
	AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80
	AF	4,477,930	10/23/84	Totten <i>et al.</i>	3/1.5	09/28/82
	AG	4,710,192	12/01/87	Liotta <i>et al.</i>	623/1	10/17/86
	AH	4,732,152	03/22/88	Wallsten <i>et al.</i>	128/343	12/5/85
	AI	4,759,758	07/26/88	Gabbay	623/2	12/07/84
	AJ	4,795,449	01/03/89	Schneider <i>et al.</i>	604/329	08/04/86
	AK	4,808,183	02/28/89	Panje	623/9	06/03/80
	AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
	AM	4,830,003	05/16/89	Wolff <i>et al.</i>	128/343	06/17/88
	AN	4,832,680	05/23/89	Haber <i>et al.</i>	600/31	07/03/86
	AO	4,846,836	07/11/89	Reich	623/11	10/03/88
	AP	4,850,999	07/25/89	Planck	623/1	05/26/81
↓ /NP/	AQ	4,852,568	08/01/89	Kensey	128/325	12/28/87

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:
10/627,941ATTY DKT NO.:
38349-0123CONFIRM NO.:
3677APPLICANTS:
Hendricksen *et al.*CUSTOMER NO.:
24961FILING DATE:
July 25, 2003GROUP ART UNIT:
3761

U.S. PATENT DOCUMENTS

/NP/	AR	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
	AS	4,934,999	06/19/90	Bader	600/29	07/28/88
	AT	4,968,294	11/06/90	Salama	600/30	02/09/89
	AU	5,061,274	10/29/91	Kensey	606/213	12/04/89
	AV	5,116,360	05/26/92	Pinchuk <i>et al.</i>	623/1	12/27/90
	AW	5,116,564	05/26/92	Jansen <i>et al.</i>	264/255	10/10/89
	AX	5,123,919	06/23/92	Sauter <i>et al.</i>	623/2	06/23/92
	AY	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
	AZ	5,161,524	11-92	Evans	128/203.15	
	BA	5,306,234	04/26/94	Johnson	604/49	03/23/93
	BB	5,352,240	10/04/94	Ross	623/2	05/31/89
	BC	5,358,518	10/25/94	Camilli	623/2	01/25/93
	BD	5,366,478	11/22/94	Brinkerhoff <i>et al.</i>	660/213	07/27/93
	BE	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	BF	5,392,775	02/28/95	Adkins <i>et al.</i>	128/207.16	03/22/94
	BG	5,409,019	04/25/95	Wilk	128/898	11/03/93
	BH	5,411,507	05/02/95	Heckele	606/108	01/05/94
	BI	5,411,552	05/02/95	Andersen <i>et al.</i>	623/2	06/14/94
	BJ	5,413,599	05/09/95	Imachi <i>et al.</i>	623/2	12/13/93
	BK	5,417,226	05/23/95	Juma	128/885	06/09/94
	BL	5,445,626	08/29/95	Gigante	604/349	06/03/94
/NP/	BM	5,486,154	01/23/96	Kelleher	600/104	06/08/93

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:

10/627,941

ATTY DKT NO.:

38349-0123

CONFIRM NO.:

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APPLICANTS:

Hendricksen *et al.*

CUSTOMER NO.:

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U.S. PATENT DOCUMENTS

/NP/	BN	5,499,995	03/19/96	Teirstein	606/192	05/25/94
	BO	5,500,014	03/19/96	Quijano <i>et al.</i>	623/2	05/09/96
	BP	5,562,608	10/08/96	Sekins <i>et al.</i>	604/20	04/13/95
	BQ	5,645,565	07/08/97	Rudd <i>et al.</i>	606/213	06/13/93
	BR	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	BS	5,662,713	09/02/97	Andersen <i>et al.</i>	623/12	09/14/95
	BT	5,683,451	11/04/97	Lenker <i>et al.</i>	623/1	06/07/95
	BU	5,697,968	12/16/97	Rogers <i>et al.</i>	623/1	08/10/95
	BV	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
	BW	5,800,339	09/01/98	Salama	600/29	05/02/97
	BX	5,840,081	11/24/98	Andersen <i>et al.</i>	623/2	02/19/97
	BY	5,851,232	12/22/98	Lois	623/1	3/13/97
	BZ	5,855,587	01/05/99	Hyon <i>et al.</i>	606/188	08/22/96
	CA	5,855,597	01/5/99	Jayaraman	623/1	05/07/97
	CB	5,855,601	01/05/99	Bessler <i>et al.</i>	623/2	06/21/96
	CC	5,944,738	08/31/99	Amplatz <i>et al.</i>	606/213	02/06/98
	CD	5,947,997	09/07/99	Pavcnik <i>et al.</i>	606/213	06/30/97
	CE	5,954,766	09/21/99	Zadno-Azizi <i>et al.</i>	623/2	09/16/97
	CF	5,957,949	09/28/99	Leonhardt <i>et al.</i>	606/194	05/01/97
	CG	5,976,174	11/02/99	Ruiz	606/213	12/15/97
	CH	5,984,965	11/16/99	Knapp <i>et al.</i>	623/12	08/28/97
/NP/	CI	6,007,575	12/28/99	Samuels	623/1	06/06/97

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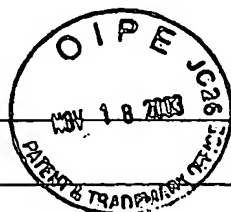
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/NP/	CJ	6,009,614	01/04/00	Morales	29/516	04/21/98
	CK	6,020,380	02/01/00	Killian	514/570	11/25/98
	CL	6,022,312	02/08/00	Chaussy <i>et al.</i>	600/29	02/20/98
	CM	6,027,525	02/22/00	Suh <i>et al.</i>	623/1	05/23/97
	CN	6,051,022	04/18/00	Cai <i>et al.</i>	623/2	12/30/98
	CO	6,068,635	05/30/00	Gianotti	606/108	06/04/98
	CP	6,068,638	05/30/00	Makower	606/159	10/27/98
	CQ	6,077,291	06/20/00	Das	606/213	11/26/96
	CR	6,083,255	07/04/00	Laufer <i>et al.</i>	607/96	12/19/97
	CS	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
	CT	6,135,729	10/24/00	Aber	417,420	07/09/99
	CU	6,135,991	10/24/00	Muni <i>et al.</i>	604/509	03/27/98
	CV	6,141,855	11/07/00	Morales	29/516	04/28/98
	CW	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
	CX	6,168,614 (B1)	01/02/01	Andersen <i>et al.</i>	623/1	02/20/98
	CY	6,174,323 (B1)	01/16/01	Biggs <i>et al.</i>	606/232	06/05/98
	CZ	6,183,520 (B1)	02/06/01	Pintauro <i>et al.</i>	623/23.64	11/10/98
	DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
	DB	6,206,918 (B1)	03/27/01	Campbell <i>et al.</i>	623/2.32	05/12/99
	DC	6,234,996 (B1)	05/22/01	Bagaoisan <i>et al.</i>	604/97.01	06/23/99
	DD	6,240,615 (B1)	06/05/01	Kimes <i>et al.</i>	29/516	09/30/99
	DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99
	DF	6,258,100	07/11/01	Alfemess <i>et al.</i>	606/108	10/10/00
/NP/	DG	6,270,527 (B1)	08/07/01	Campbell <i>et al.</i>	623/2.18	10/16/98

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APPLICATION SERIAL NO.:

10/627,941

ATTY DKT NO.:

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CONFIRM NO.:

3677

APPLICANTS:

Hendricksen *et al.*

CUSTOMER NO.:

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3761

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/NP/	DH	6,287,290 (B1)	09/11/01	Perkins <i>et al.</i>	604/516	07/02/99
	DI	6,293,951 (B1)	09/25/01	Alfemess <i>et al.</i>	606/108	08/24/99
	DJ	6,302,893 (B1)	10/16/01	Limon <i>et al.</i>	606/108	06/19/00
	DK	6,312,407 (B1)	11/06/01	Zadno-Azizi <i>et al.</i>	604/103.03	02/19/98
	DL	6,325,777 (B1)	12/04/01	Zadno-Azizi <i>et al.</i>	604/97.01	10/01/99
	DM	6,325,778 (B1)	12/04/01	Zadno-Azizi <i>et al.</i>	604/99.02	01/01/99
	DN	6,327,772 (B1)	12/11/01	Zadno-Azizi <i>et al.</i>	29/557	04/13/99
	DO	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00
	DP	6,355,014 (B1)	03/12/02	Zadno-Azizi <i>et al.</i>	604/99.02	03/13/98
	DQ	6,398,775 (B1)	06/04/02	Perkins <i>et al.</i>	604/514	10/21/99
	DR	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99
	DS	6,416,554 (B1)	07/09/02	Alfemess <i>et al.</i>	623/23.65	08/24/99
	DT	6,458,076	10/01/02	Pruitt	600/146	02/01/00
	DU	6,485,407 (B2)	11/26/02	Alfemess <i>et al.</i>	600/37	02/09/01
	DV	6,491,706 (B1)	12/10/02	Alfemess <i>et al.</i>	606/157	07/10/01
	DW	6,493,589 (B1)	12/10/02	Medhkour <i>et al.</i>	607/99	08/10/00
	DX	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00
/NP/	DY	6,527,761 (B1)	03/04/03	Soltesz <i>et al.</i>	604/516	10/27/00

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FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	REF. #	Patent Number	Date	Country	TRANSLATION (YES/NO)
/NP/	DZ	00/15149	03/23/00	PCT	
	EA	00/42950	07/27/00	PCT	
	EB	00/51510	09/08/00	PCT	
	EC	00/62699	10/26/00	PCT	
	ED	00/78386 (A1)	12/28/00	PCT	
	EE	00/78407 (A1)	12/28/00	PCT	
	EF	01/02042 (A1)	01/11/01	PCT	
	EG	01/03642 (A1)	01/18/01	PCT	
	EH	01/05334 (A1)	01/25/01	PCT	
	EI	01/10313 (A1)	02/15/01	PCT	
	EJ	01/10314 (A2)	02/15/01	PCT	
	EK	01/12104 (A1)	02/22/01	PCT	
	EL	01/13839 (A1)	03/01/01	PCT	
	EM	01/13908 (A2)	03/01/01	PCT	
	EN	01/28433 (A1)	04/26/01	EP	
↓	EO	01/45590 (A2)	06/28/01	PCT	
/NP/	EP	01/49213 (A2)	07/12/01	PCT	

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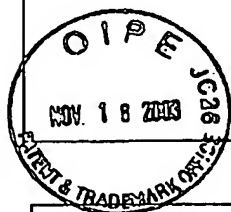
* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

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	ES	01/54685 (A1)	08/02/01	PCT	
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	EV	01/87170 (A1)	11/22/01	PCT	
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	FB	02/34322 (A2)	05/02/02	PCT	
	FC	02/38038 (A2)	05/16/02	PCT	
	FD	02/47575 (A2)	06/20/02	PCT	
	FE	02/056794 (A2)	07/25/02	PCT	
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	FM	0621 015 (A1)	10/26/94	EP	
	FN	0621 015 (B1)	10/26/94	EP	
	FO	1,371,700	02/07/88	SU	X
	FP	1,593,651	09/23/90	SU	X
	FQ	1 078 601 (A2)	02/28/01	EP	
	FR	1 151 729 (A1)	11/07/01	EP	
	FS	2140211	10/27/99	RU	X
	FT	2324729	04/11/98	GB	
	FU	852321	07/08/81	SU	X
	FV	94/26175	11/24/94	PCT	
	FW	95/32018	11/30/95	PCT	
	FX	96/34582	11/07/96	PCT	X+
	FY	97/44085	11/27/97	PCT	
	FZ	98/00840	01/08/98	PCT	
V	GA	98/19633	05/14/98	PCT	
/NP/	GB	98/39047	09/11/98	PCT	

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	GE	99/01076	01/14/99	PCT	
	GF	99/13801	03/25/99	PCT	
	GG	99/26692	06/03/99	PCT	
	GH	99/32040	07/01/99	PCT	
	GI	99/42059	08/26/99	PCT	
↓	GJ	99/42161	08/26/99	PCT	
/NP/	GK	99/64109 (A1)	12/16/99	PCT	

x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

OTHER DOCUMENTS

† EX'R INITIAL	REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
/NP/	GL	Al Jishi <i>et al.</i> , "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." <i>J. of Pediatric Surgery</i> , 29:1545-1547, 1994
/NP/	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"
/NP/	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"

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